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Public Disclosure Commission

State of Washington

PUBLIC DISCLOSURE COMMISSION

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**Public Disclosure Commission Statement of Understanding
Washington Teamsters Legislative League: PDC Case 29798**

I, Richard Hicks, President, hereby acknowledge:
(Printed Name and Title of WTLL Official)

(1) During 2016 and 2017, Washington Teamsters Legislative League (WTLL) violated RCW 42.17A.235 and .240 by failing to timely file Campaign Summary Receipts & Expenditures reports (C-4 reports) and Cash Receipts Monetary Contributions reports (C-3 reports); and (2) during 2016 and 2017, WTLL violated RCW 42.17A.240 by failing to report orders placed/debts on C-4 reports for purchases reported only as monetary expenditures. See below for details.

C-4 Reporting - Timeliness:

WTLL was involved in the 2016 primary and general elections, which required the committee to file 21 day, 7 day, and post-election C-4 reports for both the primary and general elections. WTLL filed monthly C-4 reports through the month of August 2016, and then filed reports for the special reporting periods of the general election, resulting in a failure to file the required 21 day pre-primary and 7 day pre-primary C-4 reports. This failure resulted in late reporting of the following C-4 information: (1) A summary list of C-3 bank deposits made during the C-4 reporting periods; and (2) expenditures made during the C-4 reporting periods. Following are the details of the late reporting:

- 21 day pre-primary C-4: One bank deposit totaling \$12,230.97 was listed on the July C-4, filed 8/5/16, 24 days after it was required to be reported on the 21 day pre-primary C-4, due 7/12/16.
- 21 day pre-primary C-4: Monetary expenditures totaling \$1,665.00 were reported on the July C-4, filed 8/5/16, 24 days after they were required to be reported on the 21 day pre-primary C-4, due 7/12/16.
- 7 day pre-primary C-4: One bank deposit totaling \$9,180.00 was listed on the July C-4, filed 8/5/16, 10 days after it was required to be reported on the 7 day pre-primary C-4, due 7/26/16.
- 7 day pre-primary C-4: Monetary expenditures totaling \$48,279.60 were reported on the July C-4, filed 8/5/16, 10 days after they were required to be reported on the 7 day pre-primary C-4, due 7/26/16.

C-3 Reporting:

In 2016, the primary election was held August 2 and the general election was held November 8. In 2017, the primary election was held August 1 and the general election was held November 7. During 2016 and 2017, nine C-3 reports were filed from 7-22 days late. Two of the reports, for \$12,231 and \$9,180, were due before the 2016 primary election, but were filed three days after the election. The nine late-filed C-3 reports in 2016 and 2017 are detailed below:

- \$34,567, deposited 6/15/16, reported 18 days late on 7/8/16 (100705989)
- \$12,231, deposited 7/11/16, reported 18 days late on 8/5/16 (100714360)
- \$9,180, deposited 7/21/16, reported 11 days late on 8/5/16 (100714361)
- \$35,708, deposited 8/24/16, reported 11 days late on 9/9/16 (100719519)
- \$20,501, deposited 8/24/16, reported 11 days late on 9/9/16 (100719520)
- \$10,001, deposited 9/29/16, reported 11 days late on 10/14/16 (100726175)
- \$12,300, deposited 6/23/17, reported 22 days late on 7/18/17 (100776029)
- \$9,511, deposited 8/21/17, reported 11 days late on 9/8/17 (100785812)
- \$5,500, deposited 10/2/17, reported 7 days late on 10/16/17 (100792901)

C-4 Reporting - Failure to report orders placed/debts:

WTLL failed to report orders placed/debts during the pre-primary and pre-general election periods for the monetary expenditures listed below:

- Cerillion N4 Partners; 8/24/16; \$895.49; Postage
- Cerillion N4 Partners; 8/24/16; \$2,252.32; Printing and mailing of DRIVE notification
- Cerillion N4 Partners; 8/24/16; \$2,300.00; Design, editing and Cerillion partners
- Cerillion N4 Partners; 11/3/16; \$20,543.01; Printing, mailing and taxes
- Cerillion N4 Partners; 11/3/16; \$4,898.99; Postage
- Cerillion N4 Partners; 11/3/16; \$6,200.00; Design, editing, and Cerillion partner fee
- Cerillion N4 Partners; 8/4/17; \$776.84; Printing
- Cerillion N4 Partners; 8/4/17; \$2,913.84; Printing, mail services and tax for DRIVE mailing
- Cerillion N4 Partners; 8/4/17; \$2,300.00; Design, editing and Cerillion Partners
- Cerillion N4 Partners; 11/9/17; \$9,852.93; Postage for member contact
- Cerillion N4 Partners; 11/9/17; \$14,777.07; Printing, mail service, tax, and delivery for membership contact
- Cerillion N4 Partners; 11/9/17; \$4,500.00; Design, editing and partnership for membership communication

WTLL would like to avoid the time and expense resulting from a Brief Adjudicative Proceeding (Brief Enforcement Hearing) being scheduled by Public Disclosure Commission (PDC) staff.

Therefore, in lieu of PDC staff scheduling a Brief Enforcement Hearing, WTLL is completing this Statement of Understanding and enclosing a check or money order in the amount of \$1,000.00 as a penalty payment to resolve this matter.

The \$1,000.00 penalty being assessed is for the acknowledged violations of RCW 42.17A.235 and .240 during 2016 and 2017, and includes \$500.00 for failing to timely file C-3 and C-4 reports, and \$500.00 for failing to report orders placed/debts on C-4 reports for purchases reported only as monetary expenditures at the time of payment. The penalties are being assessed in accordance with the Penalty Schedule and factors adopted by the Commission for Brief Enforcement Hearings set forth in WAC 390-37-143.

WTLL understands that by completing this Statement of Understanding, WTLL is acknowledging the violations of RCW 42.17A set forth above, and is waiving its right to a hearing in this matter.

WTLL further understand that this will resolve the issue of WTLL failing to properly report its contribution and expenditure activities during 2016 and 2017.



(Signature of WTLL Official)

April 30, 2020

(Date Signed)

Please make your check or money order payable to the “**Washington State Treasurer**” and be sure to include the PDC Case number in the memorandum field, and mail or deliver this Statement of Understanding along with your payment/check to the PDC at the following address:

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